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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP			TRAN, DZUNG D	
2101 L Street, NW			ART UNIT	
Washington, DC 20037			PAPER NUMBER	
			2633	

DATE MAILED: 01/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/941,557

Applicant(s)

BAKER ET AL.

Examiner

Dzung D Tran

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-162 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-162 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 10/2001, 01/2003.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION

Specification

Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 154, 158 and 162 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

It claimed "optical path optically passes compressed data" in claims 154, 158 and 162 is not described in the specification.

It claimed "a wavelength sensing mechanism" in claims 26-28 and 70-72 is not described in the specification.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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4. Claims 1, 2, 4 and 5 are rejected under 35 U.S.C. 102(b) as being anticipated by Vogley European patent no. EP 0849685.

Regarding claim 1, Vogley discloses in figure 1 a communication bus system between processors and memory modules comprising:

a memory controller 12 (col. 2, line 25);

at least one memory device 20 (col. 2, lines 26-27); and

an optical path 16 (col. 3, line 19) connected between said memory controller 12 and said at least one memory device 20 for optically passing data between said controller and said at least one memory device.

Regarding claim 2, Vogley discloses controller 12 transmits data to said at least one memory device through said optical path (col. 3, lines 19-21).

Regarding claim 4, Vogley discloses data includes at least one of read and write data (col. 2, line 41).

Regarding claim 5, Vogley discloses data includes address data transmitted from said controller to said at least one memory device (col. 2, lines 38-39).

5. Claims 1-8, 10, 12-14, 24-41, 44-51, 53, 55-58, 68-71, 73-85, 88, 89, 92, 95, 97, 98, 101-107, 109, 114, 115, 118-123, 126-132, 134, 139-148 and 150 are rejected under 35 U.S.C. 102(b) as being anticipated by Acton et al. US patent no. 5,544,319.

Regarding claim 1, Acton discloses a system for coupling set of plurality nodes that memory coupled to pass write only data memory to memory via a data link that further includes an optical fiber controller coupled to each data link comprising:

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a memory controller 1 (col. 2, lines 54-55);
at least one memory device from the node 6 (col. 2, lines 44-48); and
an optical path 4 (col. 2, lines 59-60) connected between said memory controller 1 and said at least one memory device from the node 6 (col. 2, lines 44-48) for optically passing data between said controller and said at least one memory device.

Regarding claim 2, Acton discloses controller 1 transmits data to said at least one memory device through said optical path 4 (col. 2, lines 55-60).

Regarding claims 3, 102 and 127, Acton discloses controller receives data from said at least one memory device through said optical path 4 (col. 2, lines 55-60).

Regarding claims 4, 103 and 128, Acton discloses data includes at least one of read and write data (abstract, col. 2, lines 48-51).

Regarding claims 5, 104 and 129, Acton discloses data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claims 6, 105 and 130, Acton discloses data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claims 7, 106 and 131, Acton discloses data includes a clock signal (col. 9, lines 50- 51).

Regarding claims 8, 107 and 132, Acton discloses data includes control data (figure 4, col. 6, line 24).

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Regarding claims 10, 13, 109 and 134, Acton discloses transmitter 22 (same as an electro-optical converter) for converting an electrical signal output from said controller and memory device to an optical signal for transmission on said optical path 4.

Regarding claims 12 and 14, Acton discloses receiver 19 (same as an electro-optical converter) for converting an optical signal on said optical path 4 to an electrical signal and transmitting said electrical signal to said controller 1 and memory device (col. 2, lines 44-48).

Regarding claims 24, 68, 114 and 139, Acton discloses at least one memory device is located memory coupled system (e.g. same as a memory module) (col. 2, lines 44-48).

Regarding claims 25, 69, 115 and 140, Acton discloses the bus 5 of each memory coupled system is connected to a fiber 4 to memory coupling system controller (col. 2, lines 48-50).

Regarding claim 44, Acton discloses memory module comprises an electro-optical converter 19 of figure 2 for connecting optical data from said optical path 4 to electrical signals for said at least one memory device from the node 6 (col. 2, lines 44-48).

Regarding claims 29, 73 and 76, Acton discloses in figure 1, a single optical path 4 between said controller 1 and at least one memory device 5 for passing at least read/write data (abstract, col. 2, lines 48-51) present on a plurality of electrical paths

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(figure 3) between said controller 1 and at least one memory device 5 (col. 3, lines 31-47).

Regarding claim 30, 34, 74, 78, 119 and 144, Acton discloses data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claims 31, 35, 75, 79, 120 and 145, Acton discloses data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claims 32, 36, 37, 80 and 81, Acton discloses data includes a clock signal (col. 9, lines 50- 51).

Regarding claims 33, 77, 118 and 143, Acton discloses in figures 2 and 3, data includes read/write data which originates on a plurality of electrical paths, said optical path 4 comprising a plurality of discrete optical guides respectively associated with said electrical path (col. 3, lines 22-30).

Regarding claims 38 and 82, Acton discloses data includes control data (figure 4, col. 6, line 24).

Regarding claims 39, 83, 121 and 146, Acton discloses in figure 1, controller 1, at least one memory device 5, and optical path 4 are all integrated on the same die.

Regarding claims 40, 84, 122 and 147, Acton discloses in figure 1, a processor (col. 2, line 47) for communicating with said at least one memory device, wherein said controller 1, at least one memory device, processor, and optical path are all integrated on the same die (figure 1).

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Regarding claims 41, 123 and 148, Acton discloses a processor (col. 2, line 47) for communicating with said at least one memory device from the node 6 (col. 2, lines 44-48), wherein said, processor and said at least one memory device are provided on separate dies and communicate via said optical path 4.

Regarding claim 45, Acton discloses a fiber optic coupling system, comprising: a processor (col. 2, line 47); a memory system (e.g. memory coupled system and fiber to memory coupling system controller combined together) connected to said processor, said memory system comprising: a memory controller 1; at least one memory device from the node 6 (col. 2, lines 44-48); and an optical path 4 connected between said memory controller and said at least one memory device for optically passing data between said controller and said at least one memory device (see figure 1).

Regarding claims 46 and 47, Acton discloses controller 1 for transmitting and receiving data to and from said at least one memory device from the node 6 (col. 2, lines 44-48) through said optical path 4 (see figure 1).

Regarding claim 48, Acton discloses data includes at least one of read and write data (abstract, col. 2, lines 48-51).

Regarding claim 49, Acton discloses data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claim 50, Acton discloses data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

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Regarding claim 51, Acton discloses data includes a clock signal (col. 9, lines 50-51).

Regarding claim 52, Acton discloses data includes control data (figure 4, col. 6, line 24).

Regarding claims 54 and 57, Acton discloses optical transmitter 22 (same as an electro-optical converter) for converting an electrical signal output from said controller and memory device to an optical signal for transmission on said optical path 4.

Regarding claims 56, 58 and 88, Acton discloses optical receiver 19 (same as an electro-optical converter) for converting an optical signal on said optical path 4 to an electrical signal and transmitting said electrical signal to said controller 1 (col. 2, lines 44-48).

Regarding claims 89, 92, 101 and 126, Acton discloses optical transmitter 22 (same as an electro-optical converter) comprising: at least one input (input from LATCH 18, 20) for receiving an electrical data signal from a memory controller; at least one device (e.g. transmitter 22) for converting said data signal to an optical signal and at least one optical output (output to fiber 4) for transmitting said optical signal into an optical path 4.

Regarding claims 95 and 98, Acton discloses optical receiver 19 (same as an electro-optical converter) at least one input (input from fiber 4) for receiving a optical data signal from an optical path 4; at least one electro-optical converter (e.g. receiver 19) for converting said received data signal to an electrical signal and at least one electrical output (output to LATCH 15, 17) for transmitting said output signal to an

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electrical path of a memory controller 1 or memory device from the node 6 (col. 2, lines 44-48).

Regarding claims 39, 83, 84, 121, 122, 146 and 147, Acton discloses processor (col. 2, line 47), controller 1, at least one memory device (col. 16, lines 20-22) and optical path 4 are all integrated on the same die (see figure 1).

Regarding claims 41, 85, 123 and 148, Acton discloses processor (col. 2, line 47) and at least one memory device (col. 16, lines 20-22) are provided on separate dies and communicate via said optical path 4 (see figure 1).

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 9, 15-23, 42, 43, 52, 59-67, 86, 87, 108, 111-113, 124, 125, 133, 136-138, 149, 152-154, 156-158 and 160-162 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acton et al. US patent no. 5,544,319 in view of Fee US Patent no. 6,658,210.

Regarding claims 9, 52, 108 and 133, as per claims above, Acton discloses all the limitations except for optical path comprises a plurality of multiplexed optical channels, said data being transmitted over said multiplexed optical channels. Fee discloses a WDM optical system comprising a bi-directional optical fiber has a plurality

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wavelengths to carry information (abstract) and data being transmitted over multiplexed optical channels (e.g. WDM, see col. 2, lines 47-55). At the time of the invention was made, it would have been obvious to a person of ordinary skill in the art to incorporate WDM coupler for multiplexing and de-multiplexing optical signals of Fee in the system of Acton. One of ordinary skill in the art would have been motivated to do this since Wavelength Division Multiplexing Multiplex/Demultiplex coupler offers advantages of allowing the optical signals transmits back and forth over an bi-directional optical link and allow multi-wavelengths to communicate via single fiber or wave guide.

Regarding claims 15, 59, 111, 112, 136 and 137, the combination of Acton and Fee discloses a multiplexer/demultiplexe (220 of figure 3 of Fee) associated with said controller (1 of figure 1 of Acton) for multiplexing said optical channels, and associated with said at least one memory device (5 of figure 1 of Acton) for demultiplexing said multiplexed optical channels.

Regarding claims 16 and 60, the combination of Acton and Fee discloses a multiplexer/demultiplexe (226 of figure 3 of Fee) associated with said at least one memory device (5 of figure 1 of Acton) for multiplexing optical channels and providing multiplexed optical channels to said optical path 4 and associated with said memory controller (1 of figure 1 of Acton) for demultiplexing said multiplexed optical channels.

Regarding claims 17, 61, 113 and 138, the combination of Acton and Fee discloses a multiplexer/demultiplexe (220, 226 of figure 3 of Fee) located on each side of said optical path.

Regarding claims 18 and 62, Acton discloses data includes at least one of

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read and write data (abstract, col. 2, lines 48-51).

Regarding claims 19 and 63, Acton discloses data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claims 20 and 64, Acton discloses data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claims 21 and 65, Acton discloses data includes a clock signal (col. 9, lines 50- 51).

Regarding claims 22 and 66, Acton discloses data includes control data (figure 4, col. 6, line 24).

Regarding claims 23 and 67, Acton discloses electrical paths connected between said controller 1 and said at least one memory device 5 for passing data between said controller and memory device (see figure 3).

Regarding claims 152-155, 156-157, 160 and 161, Fee discloses multiplexed optical channels use WDM (see col. 2, lines 47-55).

Regarding claims 42, 43, 86, 87, 124, 125, 149 and 150, Acton discloses processor (col. 2, line 47) and at least one memory device (col. 16, lines 20-22) are provided on separate dies and communicate via said optical path 4 (see figure 1). However, having the separate dies in a common package or separated package is merely an engineering design choice.

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Regarding claims 154, 158 and 162, transmitting compressed data is well known in the art.

8. Claims 11, 54, 72, 90, 91, 93, 94, 96, 99, 100, 110, 116, 117, 135, 142, 151, 155 and 159 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acton et al. US patent no. 5,544,319 in view of Copeland et al. US Patent no. 6,782,209.

Regarding claims 97 and 100, Acton discloses all the limitations and further discloses optical receiver 19. However, Acton does not specific disclose optical receiver 19 is a photodiode. Copeland discloses an optical receiver 42 includes a photodiode (see col. 8, lines 25-26). Since it well recognize in the art that an optical receiver include a photodiode, therefore, if it is not inherent, it would have been obvious to replace the optical receiver 19 of Acton with the optical receiver 42 includes a photodiode of Copeland for converting an optical signal on said optical path 4 to an electrical signal, thus it provide the wavelength or power information to the controller for adjusting the wavelength or power.

Regarding claims 91 and 94, Copeland discloses transmitter 18 include laser optical source (see col. 4, lines 15-16, col. 6, lines 20-21).

Regarding claims 11, 54, 72, 90, 93, 96, 99, 110, 116, 117, 135 and 142, Copeland discloses wavelength adjustable (col. 3, lines 19-22).

Regarding claims 151, 155 and 159, Copeland discloses multiplexed optical channels use TDM (see col. 1, line 59).

Conclusion

9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- a. Sood et al. U.S. Patent no. 5,146,607. Method and apparatus for sharing information between a plurality of processing unit
- b. MacCormack et al. U.S. Patent no. 6,603,896. Power fiber amplifier with flat gain
- c. Johnson U.S. patent no. 5,903,370. System for an optical domain

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dzung D Tran whose telephone number is (571) 272-3025. The examiner can normally be reached on 9:00 AM - 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Chan can be reached on (571) 272-3022. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

DT
1/12/2005


M. R. SEDIGHIAN
PRIMARY EXAMINER